

Who are we now?

An attempt to understand the ICDAR community!



Andreas Dengel



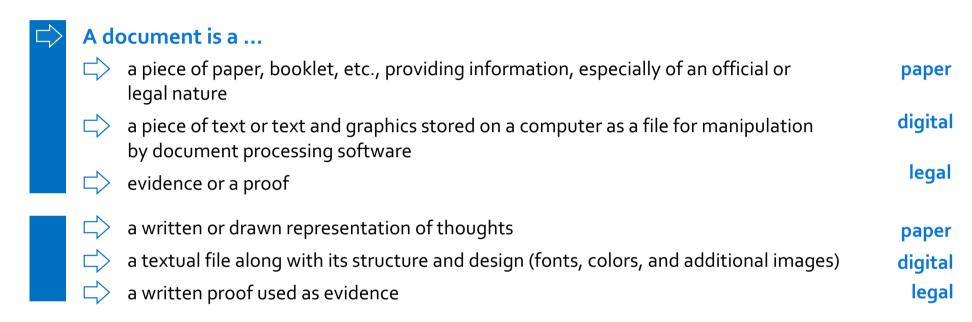
What makes a conference memorable?



- (1) Find a conference place with a 'wow factor'
- (2) Provide a excellent service to the audience
- (3) Incorporate workshops that require involvement, i.e. encouraging senior and junior people to contribute in an open atmosphere
- (4) Makes sure that the reception and the banquet are exceptional and top the expectations of the participants
- (5) Invite keynote speakers who are engaged, convincing, entertaining, and talk about topics which are beyond traditional approaches



From a historical point of view, we considered a document image as a subject of study and interpretation





Document Analysis and **Recognition*** includes contributions dealing with computer recognition of characters, symbols, text, lines, graphics, images, handwriting, signatures, as well as automatic analyses of the overall physical and logical structures of documents, with the ultimate objective of a high-level understanding of their semantic content.



The analysis task may not be restricted to a single document only but to an entire set of documents capturing important information in their combination





A documentation is a set of documents provided on paper, or online, or on digital or analog media

- lt is mainly required to transfer information through time
- It is becoming less common to see paper (hard-copy) documentation
- User manuals, quick-reference guides, or conference proceedings are typical examples



Professionals educated in this field are termed documentalists. This field changed its name to **Information Science** in 1968, but some uses of the term documentation still exists and there have been efforts to reintroduce the term documentation as a field of study



Document Analysis and Recognition has a long tradition culminating in the foundation of the ICDAR conference series documented within the set of proceedings



- The proceedings corpus provides is a valuable source for a whole bunch of quantitative and qualitative analyses
- We may apply OCR and layout analysis on the entire corpus for reediting, reformatting, rearranging, etc. for, e.g. generate a book on specific topic or a historical overview
- We may analyze the contents to classify the various papers, to get an idea about the employment of specific approaches, etc.



The analysis may be also expanded one step more to get insights into the social network behind the proceedings corpus



In general, a social network is a social structure made of nodes (which are generally individuals or organizations) that are tied by one or more specific types of interdependency, such as values, visions, ideas, financial exchange, friends, kinship, dislike, conflict, trade, web links, sexual relations, disease transmission, or airline routes. The resulting structures are often very complex





Corpus captures a large-scale but hidden "social (semantic) structure with

directed weighted relations,

network properties,

performances of subsets, and

location of actors,

based on counting the incoming and outgoing links and applying mathematical models



Generally three basic types of networks can be created from a set of scientific publications



Co-authorship networks is used as an indicator for the collaboration of authors (and their affiliated institutions)

- Nodes represent the author
- Node size conveys the number of publications by the author
- Edge label denotes the number of times two authors have co-authored a paper



Publication citation networks show relationships among scientific articles based on their citations

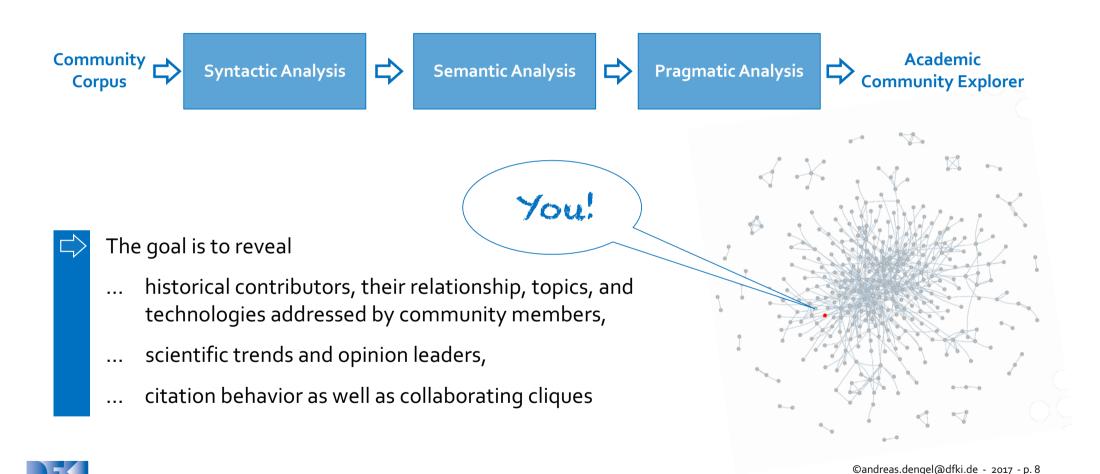
- Direct citation network
- A bibliographic coupling network where an edge is drawn between two publications if both cite the same previous publication(s)
- Co-citation network where an edge between two publications exist if they both cite each others publication



Semantic networks are formed on the basis of occurrence of a keyword in a set of publications (nodes represent words and edges represent the co-occurence of these words in one article)



This way, we approached this problem by a holistic document analysis approach to identify relations and to measure impact factors



Syntactic Document Analysis addresses the physical composition of a document



Preprocessing



Meta Data Extraction



Structured Data

XML

- Identify format (single/multiple columns)
- 2. Ignore tables, images
- 3. Transform text (image) into Unicode
- 4. Normalize the format of the references
- Extract Meta Data (title, header, keywords, abstract and references)
- 2. Extract sentences capturing citations/references using Named Entity Recognition
- Citation Data (from 1993 2015)
- Collaboration Data (joined publication)
- Keyword Information (original pdf or IEEE Bibtex)

Wavilis Sokratis Information and Communication Systems Engineering University of the Agean Sance, Greece sokratisvav@mail.com Ergina Kavallieratou Information and Communication Systems Engineering University of the Aegean Samos, Greece kavallieratou@aegean.gr </Authors

*Abstracts for the Aegean Samos, Greece kavallieratou@aegean.gr

*Abstracts for the application of binaviration algorithms is presented. The human feedback is very useful in order to apply next the algorithm to similar images. The tool supports Image Selection and Display Selection of Binarization Algorithm and Parameter Configuration, Feedback gathering and Creation of log file for further processing.

*Abstracts

*Acquardss

*Acquardss

*Acquardss

*Acquardss

*Are acquardss

*Are acquard

«/Keywords»
Perfect reference extraction
Velefrencess
Perfect reference extraction
Velefrencess
Perfect reference extraction
Velefrencess
Perfect references

v<xml>
<title>A Tool for Tuning Binarization Techniques</title>



Semantic Document Analysis deals with the interpretation of the document structure and content

XML

Network Generation



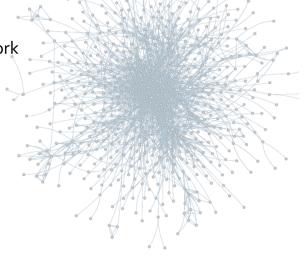
Data Cleaning and Polarity Measure



Structured Data

Enriched Networked Community Structure

- 1. Build a co-authorship network for each document
- 2. Build a author citation network for each document
- Aggregate the individual data and weight both graphs,
 i.e. nodes wrt (1) and edges wrt (2)
- Delete "non-community" author names, i.e. authors who never published at ICDAR conferences
- 2. Name Resolution
- 3. Normalize the format of the author names and adapt network
- 4. Citation Sentiment Analysis
- B-PER, I-PER, E-PER tags for describing unique author names
- Aggregated collaboration and publication data





Pragmatic Document Analysis tries to get insights into what is meant by the various propositions, i.e. its intent and effect

Enriched Networked Community Structure

Data Clustering



Performance Indicator Detection

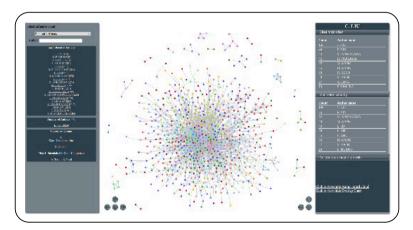


Visualize Graph Data

Academic Community Explorer

1. Clustering of co-authorship networks into groups of authors who frequently publish together

- 1. Statistical summarization
- 2. Co-authorship evolvement analysis
- 3. Region-based co-authorship analysis
- 4. Measuring author centrality
- Intuitive representations of a large amount of data realizing multiscale navigations
- Interactive community data regarding authors, their collaboration and joint publishing
- Various statistics regarding the different roles of individuals, stratification, and cliques





In the period between 1993 and 2015, more than 3,500 authors from 55 countries published papers in the ICDAR proceedings

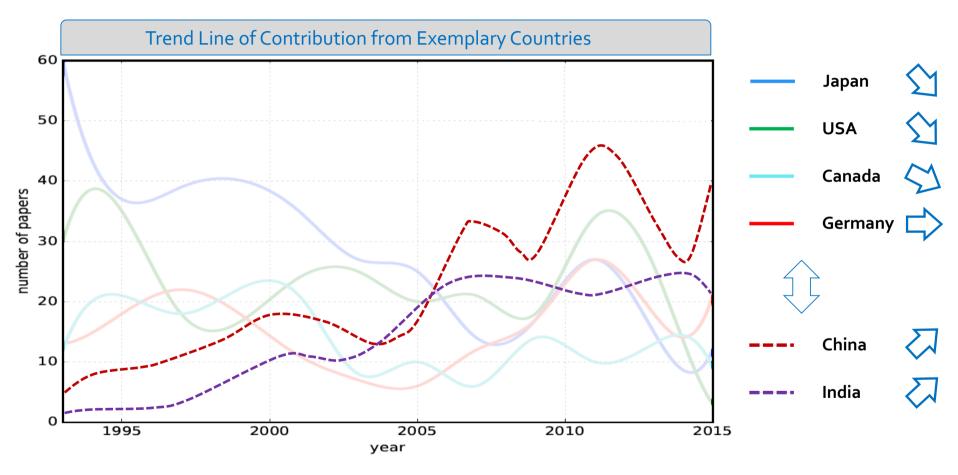


Macro Indicators (not taken from statistics but from document analysis results)

- The number of accepted papers in 6 years period continuously increased from 634 (1993-1997) to 586 (1999-2003) to 742 (2005-2009) to 789 (2011-2015)*
- The average community member authored 6.01 papers
- The average number of co-authors per paper continuously increased from 3.23 (in 1997) to 4,75 (in 2015)
- The community clustering coefficient is quite high compared to other communities, i.e. authors collaborate more frequently compared to other communities we investigated
- The largest connected component of the ICDAR community co-citation network emphasizes increased from 17% in 1993 to 46% in 2003 to 60% in 2009 and 70% in 2015
- The total number of co-citations between 2005 and 2015 has increased by 150% although the number of publications remain almost the same



Regarding the countries contributing to ICDAR conferences there are obvious long term trends



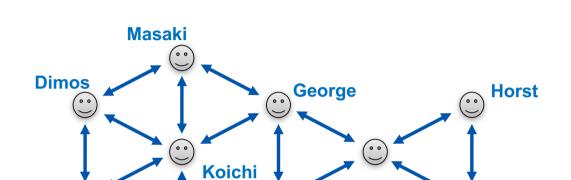


Note: From the early beginning of ICDAR, France has continuously contributed a high number of papers!

More interesting for us is the community network itself

Seiichi

Cheng



Jean-Marc

m	Di	Da	М	K	JM	G	S	C	Н	J
Dimos	-	1	1	1	0	0	0	0	0	0
Dan	1	-	0	1	1	0	0	0	0	0
Masaki	1	0	-	1	0	1	0	0	0	0
Koichi	1	1	1	-	1	1	0	0	0	0
Jean-Marc	0	1	0	1	-	0	1	0	0	0
George	0	0	1	1	0	-	1	1	0	0
Seiichi	0	ο	0	0	1	1	-	1	0	0
Cheng	0	0	0	0	0	1	1	-	1	1
Horst	0	o	0	0	0	0	0	1	-	1
Josep	0	0	o	0	0	0	0	1	1	-



Dan

A co-authorship network is a special kind of a social network where co-authors are represented as nodes n and co-authorship is represented by edges e between two nodes

Josep



As micro indicators in co-authorship and co-citation networks, we **employ centrality measures** helping to find nodes reflecting different dimensions of importance, e.g. influence, leadership, and position

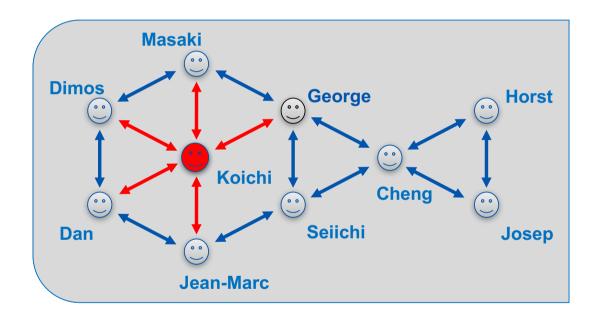


A high degree centrality denotes the existence of authors who collaborate very frequently with other authors

Micro Indicators in co-authorship

Degree centrality DC:= number of ties to directly neighbored nodes

$$C_D(v) = deg(v)$$



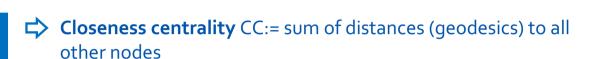
$$DC(Koichi) = 5$$

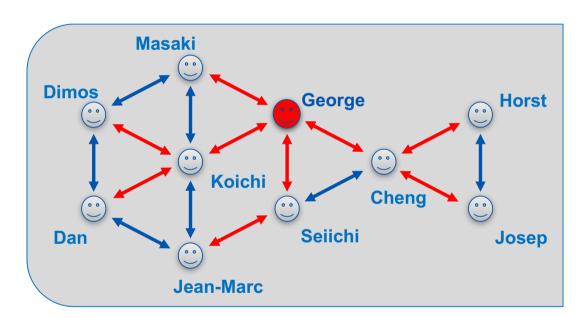
In our case we may distinguish between indegree and outdegree centrality

Koichi, in our case, is considered as the most central actor



A high closeness centrality means a short path lengths to all other network participants





$$C(u) = \frac{n-1}{\sum_{v=1}^{n-1} d(v, u)}$$

$$CC(George) = 9/14$$

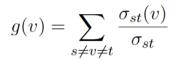
George and Seiichi, in our case, have the highest closeness centrality value

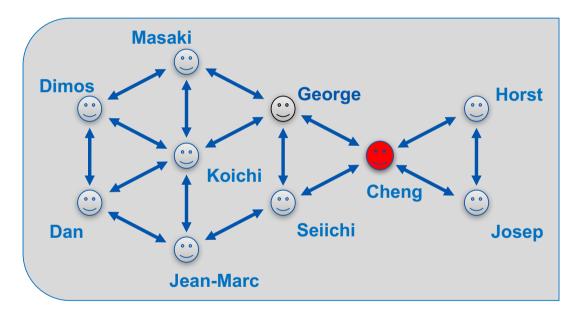


Nodes having a high betweenness centrality may control the flow of information among subsets and have therefore a central and powerful position



Betweenness centrality BC:= fraction of all shortest paths passing through this node





$$BC(Cheng) = 14/36$$

For Cheng this value is 14 (7 geodesics each for Horst and Josep)

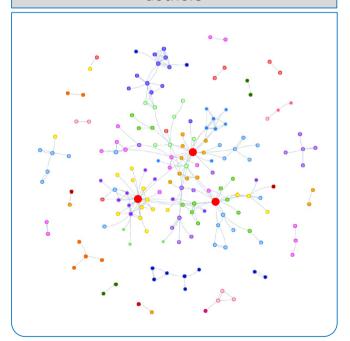
Cheng, in our case, has the highest betweenness centrality value



There are some interpretations for the individual centrality measures

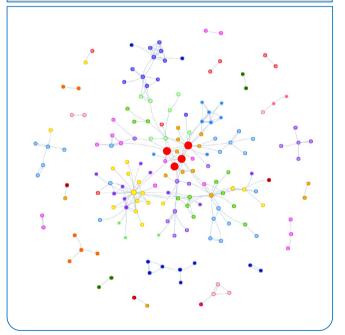
Degree Centrality

Denotes authors who are open and collaborate very frequently with other authors



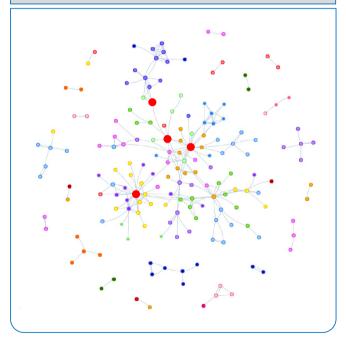
Closeness Centrality

Denotes the prominence an authors as an opinion leader since she/he is well connected



Betweenness Centrality

Denotes authorities who act as bridges between small subgroups in a community (critical ties)





Authors citation networks are networks where authors are nodes and direct edges exist between A and B if "A cites B" in one of his papers



Micro Indicators

- Having a high **in-degree centrality** implies that an author is a dominating person in a community based on her/his scientific contribution (most cited in the case of highest in-degree)
- A high **betweenness centrality** in authors citation networks is given if an author is diversively publishing with different cliques in the community
 - In most cases such authors show a almost balanced score in citing others and being cited by others
- An author who has a high **Eigenvector centrality** is considered as being highly influential, e.g. authors who are most likely to produce new research ideas
 - An author's Eigenvector centrality is proportional to the sum of the Eigenvector centralities of all nodes directly connected to her/him



In order to find important patterns of co-citation, we cluster author instances into various cliques citing each other's work more often



Clustering of a social structure is motivated by the principle of `homophily', which is the tendency of individuals to associate and bond with similar others



Individuals in homophilic relationships share common characteristics (in our case: topics, approaches, applications, , etc.) that make communication and relationship formation easier



Instead of vertex betweenness, we in this case extend this measure to the case of edges, employing the "edge betweenness", i.e. number of shortest paths between pairs of nodes that run along it



The **Girvan-Newman Clustering*** algorithm detects communities by progressively removing edges of high betweenness centrality from the original network.

- 1. Calculate betweenness centrality for all edges existing in the network
- 2. Remove edge(s) with highest betweenness centrality
- 3. Recalculate betweenness centrality of all remaining edges
- 4. Repeat Steps 2 and 3 until no edges are left



Prominent researchers in ICDAR reveal different roles when considering the normalized centrality values resulting from the analysis

Author		Coauthorship ne	tworks		Author citation networks					
	Closeness	Betweenness	Degree	Betweenness	Eigenvector	Outdegree	Indegree			
U. Pal	1.0		0.85	0.39	0.18	0.70	0.42			
C. Liu	"Opinio	on Leaders"	7	0.65	1.0	1.0	0.80			
M. Liwicki	0.54		0.72			0.59	0.34			
C. Tan	0.93	0.30	0.56	"Network	ers"	0.57	0.47			
S. Uchida	0.92	0.51	0.74	rteework		0.44	0.17			
C. Suen	0.87	0.52	0.74	0.85	0.08	0.38	0.70			
D. Karatzas	0.92	0.29	0.37	0.14	0.07		0.10			
K. Kise	0.85	0.17	0.45			"Community Experts"				
A. Dengel	0.79	0.14	0.49	0.73	0.07	U.37	0.33			
J. Llados	0.92	0.29	0.55	0.17	0.08	0.41	0.20			
S. Srihari	0.83	0.27			0.01	0.17	0.69			
M. Nakagawa	0.86	0.23	"Connect	tors"	0.42	0.42	0.30			
D. Doermann	0.88	0.21		0.65	0.07	0.35	0.57			
C. Jawahar	0.65	0.21	0.18	0.12	0.06	0.27	0.15			
D. Lopresti	0.78	0.06	0.18	0.24	0.03	0.20	0.22			
M. Blumestein	0.88		0.26	0.06			0.11			
V. Govindaraj	"Col	laborators"	•	0.49	"Scientifi	ific Leaders"				
M. Cheriet	0.84		0.41	0.36			0.26			
M. Iwamura	0.87	0.10	0.44	0.04	0.06	0.22	0.14			
R. Ingold	0.84	0.22	0.46	0.22	0.06	0.36	0.16			



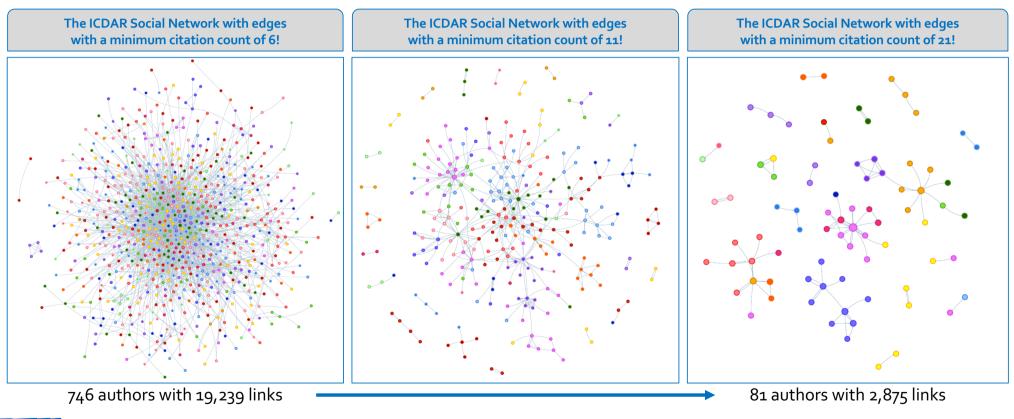
Anyway, the top-influential authors of the ICDAR community show different characteristics, i.e., they play slightly different roles

Results of Quantitative Analysis C. LIU B. GATOS H. BUNKE Betweenness centrality: 0.645475144281 Betweenness centrality: 0.340646448672 Betweenness centrality:1 Degree centrality :1 Degree centrality Degree centrality :0.622370936879 :0.962396430875 Indegree centrality :0.800762631054 Indegree centrality Indegree centrality :0.541468064756 Eigenvector centrality :1 Eigenvector centrality :0.134087160471 Eigenvector centrality :0.132500818835 Outdegree centrality Outdegree centrality Outdegree centrality :0.560657984943 :0.632625085683 Closeness centrality :0.996097761509 Closeness centrality :0.96363997615 Closeness centrality :0.957930080984 \$ 5 6 6 6 6 6 6 C



For visualizing hidden structures of cliques in the network, we may set different thresholds for the minimum number of co-citations

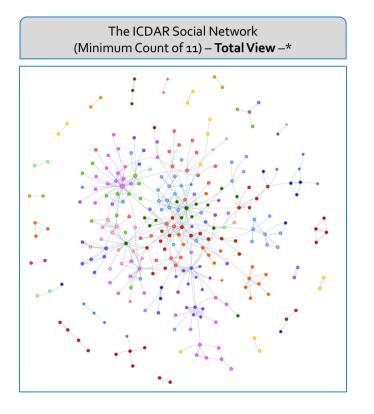
The examples show a cluster or clique from ICDAR community where each edge represents a citation strength of at least *n* between all of its members



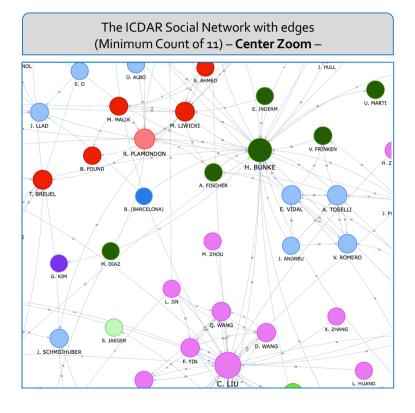


Moreover, zooming into the community networks shows the the author connections in more detail where the center captures the most influential authors







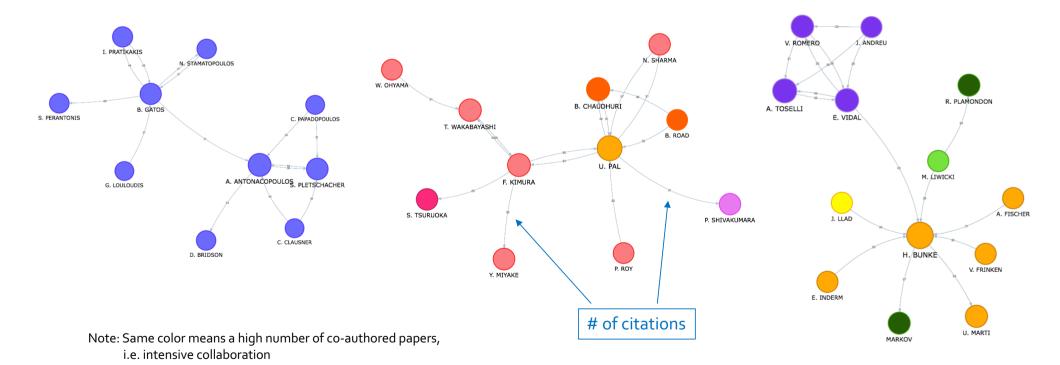




Having a closer look, we receive cliques of authors who collaborate and cite each other frequently

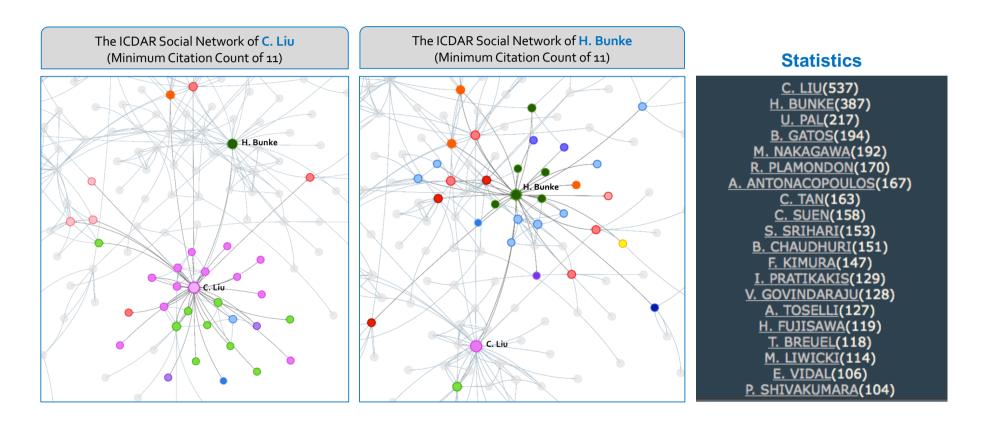


The examples shows clusters or clique from ICDAR community where each edge represents a citation strength of at least 21 between all of its members



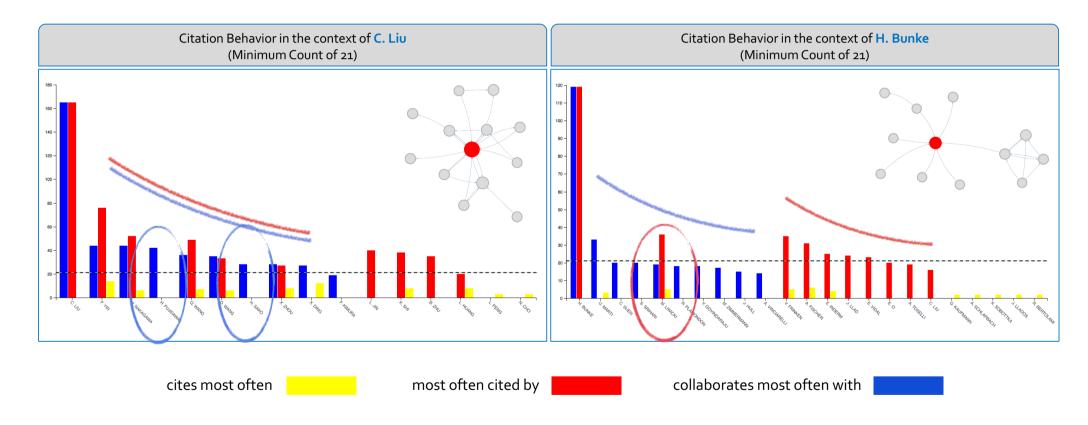


Clicking on a node shows individual citation networks of authors, i.e most influenced community members





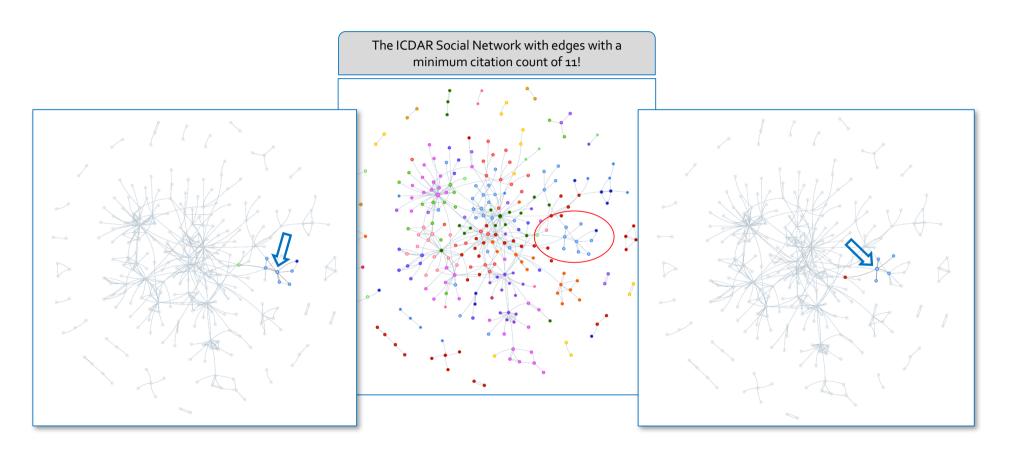
Different authors show different data characteristics reflected by the **Overlap Index Graph** aggregating and showing the diversity of an author in the community





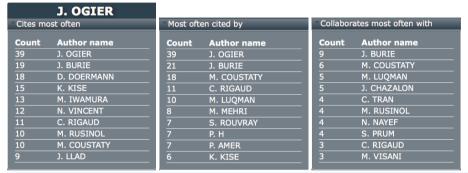
The graph may be investigated via navigation options

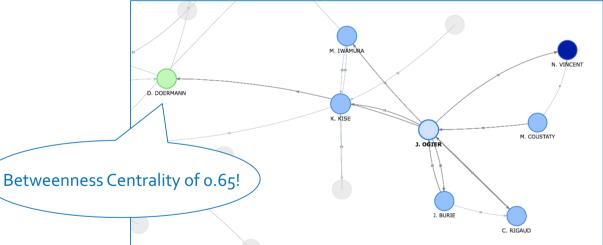


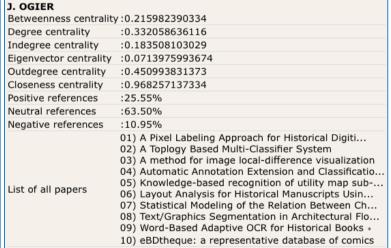




When clicking on one node in the network, we get a detailed overview of all relevant data dimensions

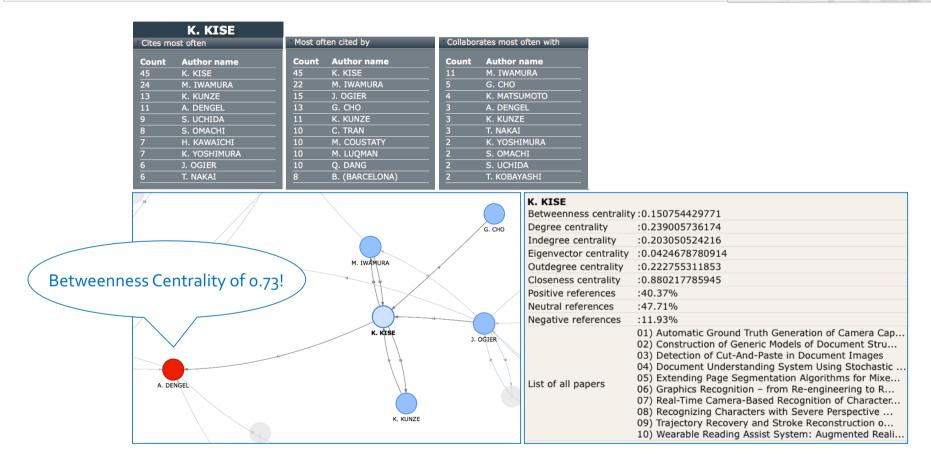








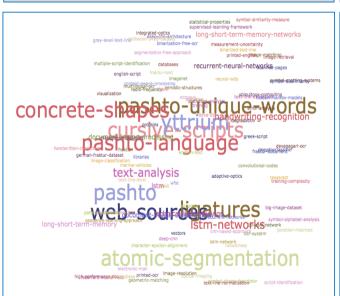
When clicking on one node in the network, we get a detailed overview of all relevant data dimensions





Furthermore, topic clouds offer insights into an author's domain of research at a glance

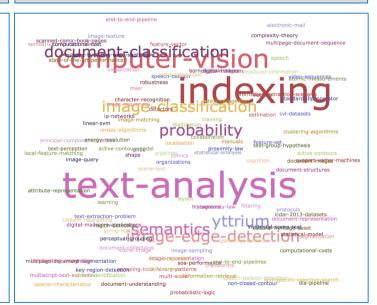
Topic Cloud of T. Breuel (Minimum Count of 11)



Topic Cloud of S. Srihari (Minimum Count of 11)



Topic Cloud of D. Karatzas (Minimum Count of 11)





In addition, we try to address another very challenging problem which is of high relevance but very hard to solve (work in progress)



Motivation:

- There are behavioral patterns of researchers' literature review practice in each community capturing the author's opinion toward the cited work
- Citation Sentiment Analysis (CSA) is a newly emerged research topic inspired by traditional citation context analysis in scientometrics and applied linguistics
- In a so-called **Senti-Index**, we intend to consider citation sentiment as the polarity of a citing author's opinion using the categories positive, negative and neutral (or many more)



Just to show (again) the target of other communities:

Document Analysis is a form of qualitative research in which documents such as public records of an organization (community) are interpreted by the researcher to give voice and meaning around an assessment topic, e.g. to provide a confluence of evidence that breeds credibility



While for the SNA we focused on authors, abstract, keywords and list of references, in CSA we analyzed the text of the paper including the captured references

Text

Preprocessing

Tagged Structured Text

Filtering

- Tokenizer
- Regular Expression Sentence Splitter
- Reference Transducer
- Reference Sentence Transducer
- 3. TokenML Transducer

Tagged Struct. Ref. Sentences

Information Extraction

Features

Machine Learning

- POS Tagger
- 2. WorNet Suggester
- 3. Stemmer
- Sentiment Analysis
- 2. Nature of References

where N denotes a neighborhood system (we use 8-connectedneighborhood in experiments), x denotes pixel coordinates, cmeans RGB color, σ and σ are normalization constants, δ determines the degree of smoothness. The pairwise term thus imposes a cost for the boundaries in the binarization resultaccording to the local color contrast in the input image.

		i pac iii								[40]		as text in
	DS-I was used to evaluate the Performance [12].										as cont at	
Root	Ds-I	Ве	Use	То	Evaluate	The	Performance	I	12]		for both mitted to the
Orth	allCaps	Lower case	Lower case	Lower case	Lowercase	Lower case	Lowercase	-	-	-	-	
Category	NNP	VBD	VBN	ТО	VB	DT	NN	NN	CD	NN		methods der [9],
Kind	Word	Word	Word	Word	Word	Word	Word	Punct ation	Number	Punct ation	Punct ation	n, namely Binarization
String	DS-I	Was	Used	То	Evaluate	The	Performance]	12]		Gatos [13], I on stroke
Stem	ds-i	Was	Use	То	Evalu	The	Perform	[12]		to whole
Synonyms	-	-	-	-	Measure, evaluate, valuate, assess, appraise, value	-	Performance, public_presen tation	-	-	-	-	results as wel our analysis images). To 003 dataset5
Hypernyms	-	-	-	-	Evaluate, pass_judgm	-	show	-	-	-	-	
					ent, judge		Lis	List of tags with corresponding part of speech				
/IN preposition or subordinating conjunction												

Reference Polarity Score

A. Bhardwaj, D. Mercier, S. Ahmed, and A. Dengel, *DeepBIBX: Deep Learning for Image Based Bibliographic Data Extraction*, ICONIP 2017, 24th Int'l Conference on Neural Information Processing, Gouangzhou, China, (Nov. 2017)

D. Mercier, A. Bhardwaj, S. Ahmed, and A. Dengel, SentiCite: An Approach for Publication Sentiment Analysis, ICAART-18, 10th Int'l Conference on Agents and Artificial Intelligence, Madeira, Portugal (Jan. 2018),



@andreas.dengel@dfki.de - 2017 - p. 33

possessive pronoun common noun, singula proper noun, singular common noun, plural

verb, past participle Verb, 3rd person singular present

NBN

NBZ

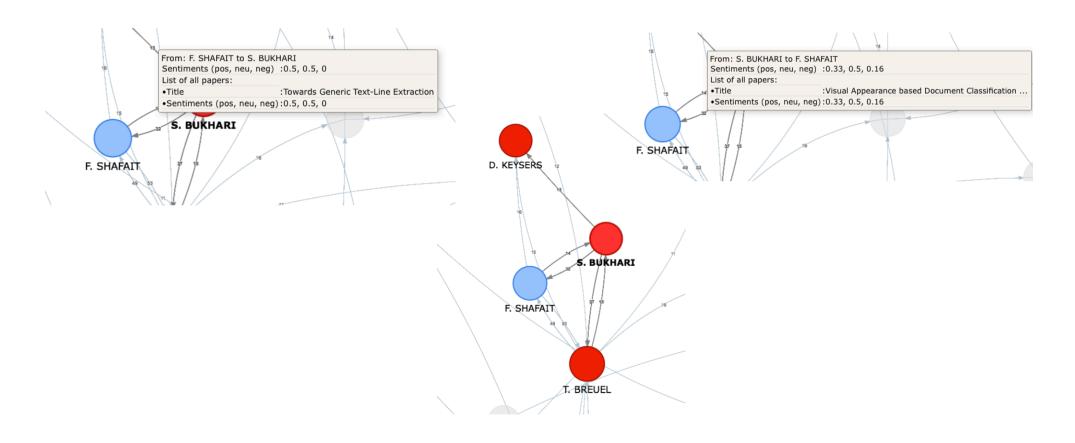
1-

CiTO*, the Citation Typing Ontology, is an ontology to enable characterization of the nature or type of citations, both factually and rhetorically

- The citations characterized may be:
 - direct and explicit (as in the reference list of a journal article),
 - indirect (e.g. a citation to a more recent paper by the same research group on the same topic)
 - implicit (e.g. as in artistic quotations or parodies, or in cases of plagiarism)
- Properties: agreesWith, cites, citesAsAuthority, citesAsDataSource, citesAsEvidence, citesAsMetadataDocument, citesAsRelated, citesAsSourceDocument, citesForInformation, confirms, containsAssertionFrom, corrects, credits, critiques, disagreesWith, discusses, disputes, documents, extends, givesBackgroundTo, givesSupportTo, includesExcerptFrom, includesQuotationFrom, isAgreedWithBy, isCitedAsAuthorityBy, isCitedAsDataSourceBy, isCitedAsEvidenceBy, isCitedAsMetadataDocumentBy, isCitedAsRelatedBy, isCitedAsSourceDocumentBy, isCitedBy, isCitedBy, isCitedBy, isCitedBy, isCreditedBy, isCreditedBy, isCreditedBy, isParodiedBy, isPlagiarizedBy, isDisagreedWithBy, isDiscussedBy, isDisputedBy, isDocumentedBy, isExtendedBy, isParodiedBy, isPlagiarizedBy, isQualifiedBy, isRefutedBy, isReviewedBy, isRidiculedBy, isSupportedBy, isUpdatedBy, obtainsBackgroundFrom, obtainsSupportFrom, parodies, plagiarizes, providesAssertionFor, providesDataFor, providesExcerptFor, providesMethodFor, providesQuotationFor, qualifies, refutes, reviews, ridicules, sharesAuthorsWith, supports, updates, usesDataFrom, usesMethodIn,



The Senti-Index allows to hover over a node or edge and get an idea about an authors (author2author) citation sentiment





Take-Aways!



- I presented an approach for an holistic analysis of an entire document corpus which in combination of all containing documents represents a rich source of information
- All results shown are available via the Academic Community Explorer framework (cf. http://www.dfki.uni-kl.de/ace/) analyzing scholarly document metadata to study a scientific community
- The topic clouds offer an overview of an author's domain of research and may be used to search for appropriate reviewers for ICDAR and IJDAR
- The employment of the co-authorship network may avoid "conflict of interest" cases when assigning reviewers because it implicitly shows frequent co-authorship
- The Senti-Index is a first but promising approach to disclose sentiment in citations but has to be considered with great caution
- Anyway, finally this work will continue and should be used as a recommending and exploring system for community members



Finally, I hope I was able to stimulate discussion regarding both, document analysis research questions/options and the hidden patterns of our community

Special thanks to Special thanks to Sheraz Ahmed, Hashmi Akansha Bhardwaj, Hisham Hashmi Dominique Mercier, Hisham Hashmi



Prof. Andreas Dengel
DFKI GmbH
P.O. Box 2080
D-67608 Kaiserslautern
email: andreas.dengel@dfki.de





