#### A PRESCIENT ABSTRACT:

In the past 30 years, various ideas have been presented for machines which could recognize spatial patterns. With the advent of the digital computer and its use in data processing, there has been a great increase of interest in the automatic conversion of human language to language understandable by a machine. The translation to machine language of spatial symbols---pattern recognition----is important to this conversion.

Papers presented at the March 3-5, 1959, Western Joint Computer Conference San Francisco, California Pages: 291-294

W. H. Highleyman (RPI 1955), Bell Telephone Labs., Inc., Murray Hill, N.J. L. A. Kamentsky Bell Telephone Labs., Inc., Murray Hill, N.J.

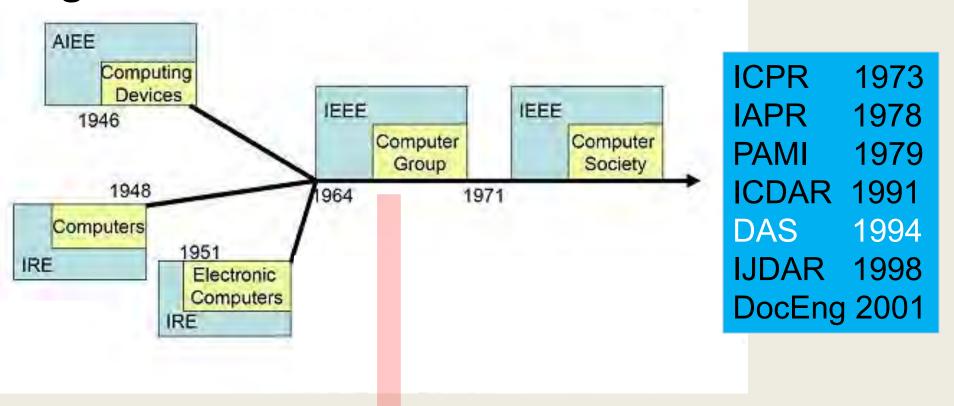


## Document Systems Analysis: Testing, Testing, Testing...

A Short History of Pocument Test Pata by George Ragy

DocLab, RPI

#### Organizations & Committees ~ 1967



#### IEEE-CG TC on Pattern Recognition

Subcommittee on Reference Data Sets



#### IBM T.J. Watson Research Center 1965

## Gardiner Tucker Don Rosenheim

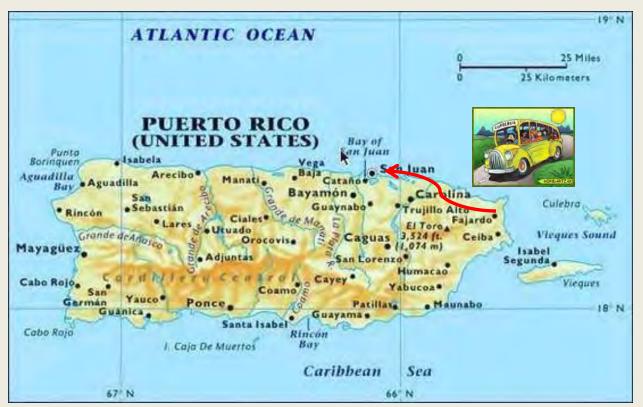
A. Hoagland

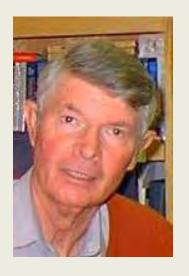
Don Streeter

Glenn Shelton, Jr.

George Nagy & Dick Casey

## First Pattern Recognition Meeting Oct. 1966 - chaired by Al Hoagland





About a third of the presentations about das

Abend, Ball, Chow, Cover, Duda, Freeman, Groner, Hall, Julesz, Kanal, Kirch, Minsky, McCormick, Munson, Prewitt, Roberts, Papert, Rabinow, Roberts, Rosenfeld, Sammon, Specht, Stanat, Sheinberg, Sutherland, Specht, Uhr, Widrow, Zadeh... (52, 15 from Ú's)



## GOMPUTER INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS OF ROUP NEWS

Vol. 1 No. 4 January, 1987



IEEE Computer Group News, pp. 16-19, January 1967

reprinted in Spectrum

June 9, 2010



# A HAPPENING IN PUERTO RICO

#### Trends in Pattern Recognition A Report on the 1966 IEEE Pattern Recognition Workshop

George Nagy
IBM Watson Research Center
Yorktown Heights, New York
Who, What, When, Where

Self-Organizing, Bionic, Heuristically Programmed, Pattern Recognizing, Learning, Neuronal, Cybernetic, Goal-Seeking, Problem-Solving, Microprogrammed, Multiprogrammed, Multiprogrammed, Multiprogrammed, Multiprogrammed, Multiprogrammed, Self-Reproducing, Cluster-Seeking, On-Line, Trainable, Stochastic, Kilomegacycle, Optimal, Artifically Intelligent, Synnoetic Computing Machines — was one speaker's list of the key words necessary to describe the range of topics discussed at the recent "happening" (the chairman's characterization) instigated in Puerto Rico by the Pattern Recognition Subcommittee of the IEEE Computer Group.

the user to make on-the-spot corrections and to adjust style to suit the recognition logic. The one off-line proj heard from relies on the context inherent in a programmi language such as Fortran to keep the error rate within ceptable limits.

Further contributions in character recognition consisted new algorithms designed to improve maximum likeliho decisions based on features by taking into account the int feature statistical dependencies and the Markovian propert of natural language.

Other applications-oriented presentations covered ho graphic techniques for fingerprint recognition, polynom decision boundaries for electro-cardiograms, automated pho metric chromosome analysis, adaptive networks for sor phased antenna arrays and for aerial photoreconnaissan a sequential decision model for blackjack, graphic input computers, the superposition of flight paths on contour majand the analysis of three-dimensional projections. Amo these, the ECG analysis seems closest to practical applibility. Several of the other projects, notably the work fingerprints, chromosomes, sonar, and graphic input, almake use of realistic data sets.

The outline of a general purpose pattern recognition a

#### Excerpts from DocLab Archives (1967-1974)

Minutes of the

IEEE Computer Group

Technical Committee

on Pattern Recognition (PRC) and its

Subcommittee on Reference Data Sets and Performance Evaluation Standards (SCDS)

#### PRC **April 10, 1967** NYC

- **Al Hoagland,** A. Hamburgen, L. Kanal, A. Rosenfeld, B. McCormick, B. Widrow, New: D. Brick, C.K. Chow, H. Freeman, J. Sammon, G. Nagy (+ visitors: H. Ostreicher, M. Watanabe)
- PR Subcommittee upgraded to full TC
- Puerto Rico Workshop proceedings:
  - Wiley would typeset and publish for \$10 per copy
  - Spartan would print "as is" for \$ 5-6 per copy
  - McGraw Hill not interested, Addison Wesley maybe
  - 8000 copies of OPTICAL CHARACTER RECOGNITION (Spartan 1960) sold
  - IEEE SMC PG-35 interested (also in taking over PG -16)
- Pattern Recognition Society established by R. Ledley

#### April 10, 1967, continued

- Participation at forthcoming meetings:
  - Washington, DC (UMD, ONR, USPS) June 1967- Rosenfeld
  - NCC Chicago September 1967 C.K. Chow, J. Munson
  - Systems Science Hawaii January 1968 Watanabe
     Specifically, Nagy's controversial short paper on Unsupervised Learning,
     presented at the Puerto Rico worship, should be expanded to a full paper (???)
  - IFIPS Edinburgh August 1968 Herb Freeman
  - IEEE G-SSC TC on Pattern Recognition and Learning Systems
  - IEEE G-AC TC on Adaptive and Learning Systems

(MMS+SSC→SMC

After the meeting, Al Hoagland asked me to look into reference data.

June 9, 2010 DAS 2010 (GN)

#### **MEMORANDUM**

To: A. S. Hoagland, Chairman, IEEE Pat Rec Committee

From: G. Nagy

Date: August 28, 1967

Subject: Reference Data Sets

Contacted universities, OCR manufacturers, industrial and non-profit research labs, and the United States Government.

"The consensus of opinion appears to be that the establishment of reference material of this sort is long overdue."

#### **Alternatives:**

- 1. Establish a small subcommittee to publish a catalog of data sets which may be obtained from their respective owners;
- 2. Co-sponsor with a government agency (NIST, then NBS);
- 3. Endorse a non-profit research org or a consultant to make reference data available to the public for a fee;
- 4. A means within IEEE to acquire, maintain and distribute the data, in a manner similar to the Computer Group Repository, which in fact already encourages the submission of original data.

#### PRC October 13, 1967 Boston

- Procs. of Puerto Workshop mailed to Thompson (Kanal).
- Nagy's suggestion for a subcommittee on reference data sets adopted. Requests to add pictorial and time-varying signals, as well as multi-dimensional feature data.

[CK Chow, Munson (SRI), Spooner (CAL), Samson (RDC), Gibbons (PO)].

- Duda designated Committee's Contributing Editor
  - (to *Computer Group News*).
- PRS is establishing a new journal: *Pattern Recognition* (editors from PRC invited).
- Explore cooperation in IP with Optical Society of America.
- Delft PR workshop in August 1968 (Verhagen).
- Pisa two-week summer school in September 1968 (Grasselli).
- San Francisco SSC Conf in October 1968.

#### SCDS March 21, 1968 NYC

- C.K. Chow received enthusiastic IEEE endorsement and offer to cooperate.
- Harry Huskey will accept data tapes as items of his (PGEC) repository: IEEE Test Data Sets in *Computer Group News*, March 1968, p. 28.
- Harburgen obtained legal advice: IEEE should copyright data, identifying and giving full credit to source. IEEE cannot restrict use of data to researchers.
- MP: Spooner has found several MP sources. REI has large data base, but reluctant to release it except to researchers. C.K. Chow said IBM may release 10,000 chars of MP. CAL has 40,000 alphanumeric chars in 24x24 binary form with 64 levels. Expect soon to have 150,000 chars including IBM Executive and Remington Rand Elite.
- **HP:** Highleyman; Knoll; Munson
- Cursive: Harmon, Murray Eden, Gibbons
- Speech; EKG, EEG, EMG; Seismic; Radar/Sonar; Fingerprints; X-Rays; Bubble Chamber, Microscope, Aerial, Celestral Photos; Maps; Line Dwgs (none!); Property lists (Medical diagnostics, Taxonomy);

#### PRC May 15, 1969 Boston

- 203 copies of **Pattern Recognition** sold!
- Should IEEE repository handle data set distribution (efficiency and cost)?
- No formal efforts will be made to create an umbrella organization to coordinate pattern recognition activities among IEEE committees and other groups (the autonomy of the Computer Group with the IEEE is now under discussion.)
- Delft Workshop report by Chandrasekaran, Kanal and Nagy published by the IEEE.
- Hoagland thanked and sent up, Chow welcomed as TC chair.
- Workshop in Honolulu proposed.

#### **SCDS** - May 15,1969

The problem of verifying the description of data on magnetic tape was seen to be a formidable one because of incompatibilities among different computer systems.

Hamburgen will check IEEE Computer Center facilities.

#### PRC November 17, 1969 Las Vegas

- Four documented data sets submitted to IEEE HQ.
  Requires IEEE ADCOM and Tech Ad Board approval:
  Chow wrote to McCluskey
- Twelve facilitators plus two international coordinators appointed for data bases
- Subcommittee renamed: Reference Data Bases and Evaluation Procedures
- Hawaii workshop with SMC should have no session on OCR or statistical techniques, sessions should not be organized by subject, and most sessions should be devoted to future methods of pattern recognition. (Objection: this leaves out most engineers who work in pattern recognition)

#### PRC November 18, 1970 Houston

- Spooner to chair SCRD
- Hamburgen will organize a subcommittee on character recognition
- Still 672 copies left of Pattern Recognition!
- Still looking for a Service Bureau to copy tapes
- Preparations for 1972 Workshop on PR in Hot Springs (Ed Parrish)

#### SCDS May 20, 1971 Atlantic City

- 1. Data Sets sent from IEEE HQ to Computer Society two months ago, but have not yet arrived
- 5. Should advertise in IEEE Spectrum
- 6. Publish names of data set users
- 7. Rabinow to be asked to obtain a set of alphanumeric characters that have been systematically degraded
- 9. "It was first agreed that the first order of business was to get the data sets in hand and advertise in Computer so that we can point to a significant milestone in the Committee's accomplishments."

#### PRC November 17, 1971 Las Vegas

- Five data sets are ready and will be advertised in the January-February 1972 Issue of *Computer* magazine.
- Production cost estimated at \$100.
- PRC should consider expanding its scope to *image processing* and *artificial intelligence*.
- Al Klinger requested to prepare a Glossary of Pattern Recognition.

#### Data Sets advertised in IEEE Computer

January 1972 (6 data sets)



In order to encourage research in the field of pattern recognition, the IEEE Computer Society's Technical Committee on Pattern Recognition has begun collecting data bases from a variety of sources. These data bases, including substantial back-up documentation, may be ordered by using the form at the bottom of the page.

Discounts off the data base list prices are available to IEEE members and members of the American Federation of Information Processing Societies' consti-

When ordering, you may elect to send us your own blank tapes; if you do, be sure they are in good condition and have no other data recorded on them,

1.1.1 Machine Imprinted Alphanumeric Characters -Dr. H. F. Ryan, Cornell Aeronautical Laboratory, Inc/U.S. Postal Service

An alphanumeric character data base of 100,000 samples of 66 character classes. (Also known as the CAL-U.S. Postal Service Alphanumeric Character Data Base.) Thresholded binary images of segmented, centered, mixed-font, machine-imprinted characters. Resolution is 24 x 24. Magnetic tape, 9 track, 2 reels, 1600

Price: \$112.50 (\$68.75 with furnished tapes) Member's discount price: \$90, (\$55, with furnished 1.2.1 Handprinted Numeric Characters - Dr. A. L. Knoll, Honeywell Information Systems, Data Systems Division

The data base consists of 50 samples of each numeric character generated by 9 different authors, Simple printing rules were specified but not always followed. The samples were selected from those contributed. The images are binary with a resolution of 25 x 21. Punched cards.

Price: \$37.50

Member's discount price: \$30.

#### PATTERN RECOGNITION DATA BASES AVAILABLE



In order to encourage research in the field of pattern recognition the IEEE Computer Society's Technical Committee on Pattern Recognition has begun collecting data bases from a variety of sources. These data bases, including substantial back-up documentation, may be ordered by using the form at the bottom of the

Discounts off the data base list prices are available to IEEE members and members of the American

but not always followed. The samples were selected from those contributed. The images are binary with a resolution of 25 x 21. Punched cards

Price: \$41.25 Member's discount price: \$33.

1.2.2 Handprinted FORTRAN Alphanumeric Characters - Dr. John H. Munson, Stanford Research Institute

The data base consists of two parts, with each part on a reel. The first part contains 3 alphabets of 46 characters, corresponding to the non-blank character set of the basic FORTRAN language, hand-printed by each of 49 authors making a total of 3 x 46 x 49 = 6,762 patterns.

The second part has 2,999 characters printed by a single author. There are 920 characters made up of 20 alphabets of 46 characters each; the remaining 2,079 characters are taken from fragments of actual coding sheets. The images are binary with a 24 x 24 resolution. Magnetic tane, 7 track, 2 reels, 556 BPI.

Price: \$116.75 (\$75.75 with furnished

alphanumeric characters. The images are binary with a resolution of 12 x 12. Punched cards.

January 1973

Price: \$55.

Member's discount price: \$44.

1.2.4 Handprinted Numeric Characters -Hiroshi Genchi, Tokyo Shibaura Electric Co., Ltd/Toshiba Research and Development Center

The data base consists of 10,000 hand written numeric characters collected from live as well as experimental mail throughout Japan. The data base is contained on two magnetic tapes with each tape having 5,000 characters. Images are binary with a resolution of 36 x 50. A single pattern consists of 56 words. Magnetic tape, 7 track, 2 reels, 556 BPI, 6 bits per character.

Price: \$115.25 (\$68.75 with furnished

Member's discount price: \$93. (\$55. with furnished tapes)

1.3.1 Cursive Script - Dr. L. D. Harmon, Bell Telephone Laboratories

#### **Pattern Recognition DATA BASES**

1.1.1 Machine Imprinted Alphanumeric Characters - Dr. H. F. Ryan, Calspan Corp./ U.S. Postal Service

An alphanumeric character data base (normalized version) of 100,000 samples of 66 character classes. (Also known as the CAL-U.S. Postal Service Alphanumeric Character Data Base.) Thresholded binary images of segmented centered mixed-font. machine-imprinted characters, Resolution is by using the form at the back of the issue. 24 x 24. Magnetic tape, 9 track, 2 reels,

Price: \$123.75 (\$75.50 with furnished tapes) Member's discount price: \$99 (\$60 with furnished tapes)

1.1.1A Machine Imprinted Alphanumeric Characters - Dr. H. F. Ryan, Calspan Corp./

In order to encourage research in the field of pattern recognition, the IEEE Computer Society's Technical Committee on Machine Pattern Analysis has begun collecting data bases from a variety of sources. These data bases, including substantial back-up documentation, may be ordered

Discounts off the data base list prices are available to IEEE members and members of the American Federation of Information Processing Societies' constituent societies. When ordering, you may elect to send us

your own blank tapes; if you do, be sure they are in good condition and have no other data recorded on them.

If you have a data base that you wish to



To order: Use the multipurpose order form at the back of the issue.

magnetic tapes with each tape having 5,000 characters. Images are binary with a resolution of 36 x 50. A single pattern consists of

AS 2010 (GN)

#### **Announcement**

In order to encourage the field of pattern recognition, the IEEE Computer Society's Technical Committee on Machine Pattern Analysis has begun collecting data bases from a variety of sources. These data bases, including substantial back-up documentation, may be ordered by using the form at the back of the issue.

Discounts off the data base list prices are available to IEEE members and members of the American Federation of Information Processing Societies' constituent societies

When ordering, you may elect to send us your own blank tapes; if you do, be sure they are in good condition and have no other data recorded on them.

If you have a data base that you wish to contribute to the Technical Committee on Machine Pattern Analysis, please contact Dr. J. B. McFerran, Sperry-Univac, P. . Box 3525, St. Paul, Minnesota 55165.

#### PRC June 17, 1972 Atlantic City

- 20 Data Sets purchased!
- Ed Parrish to chair SCDS
- 41 @ Hot Spring PR Workshop. Income Expenses = \$705.92.
- S. Yau: Expand scope of PRC and change name, or form another committee:

Pattern Recognition

Complex Information Processing

Pattern Recognition and Machine Intelligence

Heuristic Problems

Pattern Recognition and Artificial Intelligence

Cognitive Technology

Models of Cognition (or Intelligence)

Artificial Intelligence

Machine Pattern Analysis `

#### SCDS

Suggestion: find a "willing expert " to verify data sets.

McFerran explained Univac's computer-generated character data bases.

#### PRC Jan 4, 1973 Disneyland

> 100 attendees at 2-DSP Conf at U. Missouri in August 1972

#### 37 Data Sets purchased!

Machine imprinted alphanumeric characters 1.1.1	7
Handprinted numeric characters 1.2.1	7
Handprinted FORTRAN alphanumeric characters 1.2.2	10
Handprinted alphanumeric characters 1.2.3	7
Handprinted numeric characters 1.2.4	3
Cursive script 1.3.1	_3
	37

#### PRC **June 7, 1973** NYC

#### 60 Data Sets purchased!

Will be advertised regularly in *Computer* Should software (programs) be added?

#### PRC February 26, 1974 San Francisco

Lengthy discussion of acceptability of artificially generated data. Collaborate with ACM SIGART?

PRC May 7, 1974 Palmer House

Task force on publishing mechanisms in PR-oriented journals

PRC Sept 11, 1974 Mayflower

Copenhagen

Silver Spring

Asilomar

El Coronado San Diego

IJCPR June 1974: 400 attendees

IPR Workshop November 1974

PR Workshop March 1975

ICPR Fall 1976

First Machine Pattern Analysis TC Newsletter October 1974

June 9, 2010 DAS 2010 (GN)

#### 1974 PRC

Agrawala, Brick, Butler, Butterfield, Chien, Chow, Deutsch, Fischler, Frank, Freeman, Fukunaga, Gibbons, Hamburgen, Harlow, Hoagland, Kanal, Klinger, Lainiotis, Lambert, Lederer, McFerran, Mathur, Meisel, Nadler, Nagy, Parrish, Patrick, Robinson, Rosenfeld, Samit, Sammon, Shapiro, Sklansky, Spooner, Stoffel, Swonger, Watanabe, Weinstein, Wilson, Widrow, Yau

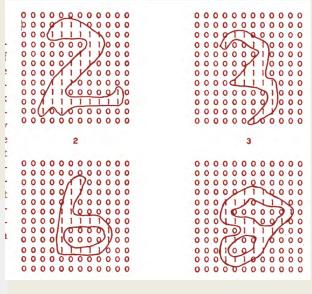
### Most often used dataset ever (50 alphabets)

1.2.3 Handprinted Alphanumeric Characters — Dr. W. H. Highleyman, Sombers Associates.

There are approximately 2300 samples of alphanumeric characters. The images are binary with a resolution of 12 x 12. Punched cards.

**Price:** \$55

Member's discount price: \$44



#### Data for Character Recognition Studies\*

A few years ago during my initial work in the problem of pattern and character recognition, I reduced several samples of hand printing and machine printing to matrix form. I later used this data to obtain experimental results which appeared in two papers. 1,2 My intent in reducing this data

\* Received February 7, 1963.

1 W. H. Highleyman, "An analog method for character recognition," IRE TRANS. ON ELECTRONIC COMPUTERS, vol. EC-10, pp. 502-512; September, 1961.

<sup>2</sup> W. H. Highleyman, "Linear decision functions, with application to pattern recognition," Proc. IRE, vol. 50, pp. 1501–1514; June, 1962.

#### SRI

#### 1.2.2 Handprinted FORTRAN Alphanumeric Characters

Dr. John H. Munson, Stanford Research Institute

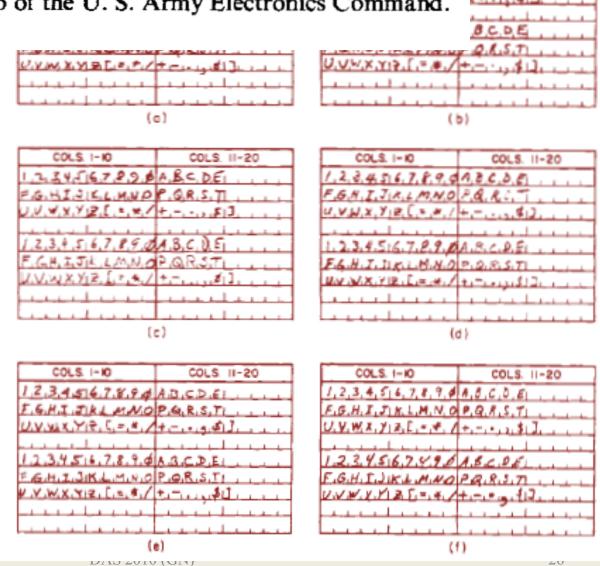
The data base consists of two parts, with each part on a reel. The first part contains 3 alphabets of 46 characters, corresponding to the non-blank character set of the basic FORTRAN language, hand-printed by each of 49 authors making a total of  $3 \times 46 \times 49 = 6,762$  patterns.

The second part has 2,999 characters printed by a single author. There are 920 characters made up of 20 alphabets of 46 characters each; the remaining 2,079 characters are taken from fragments of **actual coding sheets**. The images are binary with a 24 x 24 resolution. Magnetic tape, 7 track, 2 reels, 556 BPI.

Price: \$116.75 (\$75.75 with furnished tapes)

Member's discount price: \$93 (\$60 with furnished tapes)

Fig. 14. Handprinted characters on FORTRAN coding sheet. An augmented FORTRAN alphabet is shown by each of twelve different writers. The range of variation is considerable even though the writers were in no particular hurry. These data were collected at the Stanford Research Institute under sponsorship of the U. S. Army Electronics Command.



COLS. II-20

BCDE

IEEE Computer, April 1976, p. 83

June 9, 2010

#### **Post Office**

#### 1.1.2 Machine Imprinted Multilevel Characters

- James S. Gibbons, Electronic Sciences Division, U.S. Postal Service.

An alphanumeric character data base of 32,000 (16 level) multi-level characters, extracted from 2100 test mail pieces each in a 24 x 24 array covering an area of 0.144 x 0.144 square inches. Complete information as to upper/lower case, font style, percent reflectance, color print and background accompanies each character on magnetic tape. Suitable for machine independent research as well as OCR testing. Magnetic tape, 4 reels test characters, 1 reel set up characters, 9 track, 800 BPI.

Price: \$262.50 (\$150.00 with furnished tapes)

Member's discount price: \$210.00 (\$120.00

with furnished tapes)

#### Honeywell

#### 1 1.2.1 Handprinted Numeric Characters -

Dr. A. L. Knoll, Honeywell Information Systems, Data Systems Division

The data base consists of 50 samples of each numeric character generated by 9 different authors. Simple printing rules were specified but not always followed. The samples were selected from those contributed. The images are binary with a resolution of 25 x 21. Punched cards.

Price: \$41.25

Member's discount price: \$33

#### **Toshiba**

**1.2.4 Handprinted Numeric Characters -**Hiroshi Genchi, Tokyo Shibaura Electric Co.,
Ltd./Toshiba Research and Development
Center

The data base consists of 10,000 hand written numeric characters collected from live as well as experimental mail throughout Japan. The data base is contained on two magnetic tapes with each tape having 5,000 characters. Images are binary with a resolution of 36 x 50. A single pattern consists of 56 words. Magnetic tape, 7 track, 2 reels, 800 BPI, 6 bits per character.

Price: \$115.25 (\$68.75 with furnished tapes) Member's discount price: \$93 (\$55 with furnished tapes)

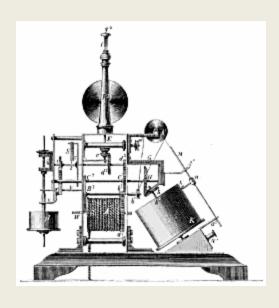
#### **Bell Labs**

**1.3.1 Cursive Script -** Dr. L. D. Harmon, Bell Telephone Laboratories
The data consists of **52 cursive script sentences**. The resolution for each sentence is 256 (vertically) x 2048 (horizontally). The images are binary. Magnetic tape, 7 track, 1 reel, 200 BPI.

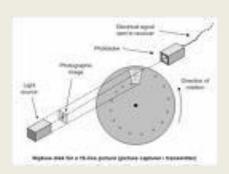
Price: \$60.50 (\$42.25 with furnished tapes) Member's discount price: \$50 (\$33 with furnished tape)

To order: Use the multipurpose order form at the back of the issue.

#### OCR infrastructure: Scanners



Bain 1841

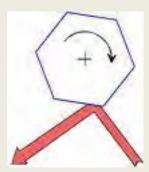


 $\underset{\text{June 9, 2010}}{\text{Nipkow}}$  disk

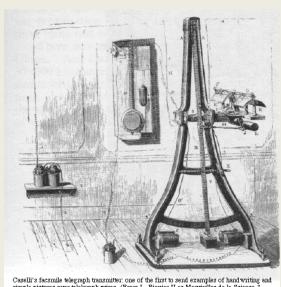
Drum scanners for telegraphy



Bakewell 1847



Rotating mirror DAS 2010 (GN)



Caselli's facsmile telegraph transmitter, one of the first to send examples of handwriting and simple pictures over telegraph writes. (Fron L. Friguer "Les Mervielles de la Science," Paris, about 1666 and cited "From Semaphore to Satellites", ITU, 1965.)

Caselli 1861



**CRT** 

#### OCR GENEOLOGY <1960

GUSTAV TAUSEK PATENT 1929

(MECH. TEMPLATES + PHOTODETECTOR)

Dec. 22, 1953

D. H. EHEFARD

#FIRANCE OF MODES

#F

DAVID SHEPARD 1951
INTELLIGENT MACHINES RESEARCH
READERS DIGEST, STANDARD OIL 1955

IMR ightarrow FARRINGTON ightarrow COGNITRONICS

JACOB RABINOW

1952

NATIONAL BUREAU OF STANDARDS 1952

US & CANADA POST

1953

US AIR FORCE (BORROUGHS)

1959

June 9, 2010

#### **OCR Geneology contrinued**

- 1960 Farrington Philco-Ford NDP Sperry Univac
- 1962 Rabinow Engineering *HP* → Control Data
- > 1960 Fujitsu Hitachi NCR IBM RCA GE Solatron Scan-Data REI ... > 50 OCR companies in the United States)

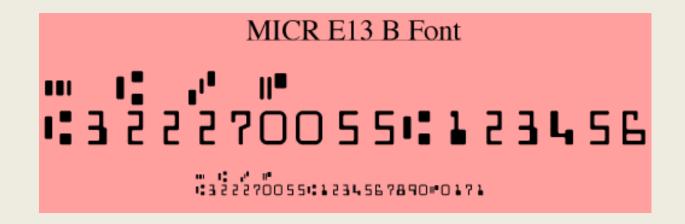
(40 docs & 10,000 chars per second, \$,\$\$\$,\$\$\$)

> 1980 Kurzweil → Xerox → Scansoft → Nuance
Palantir → Calera → Caere ← Recognita

THOCR Expervision Fuji Sanyo RAF IRIS ABBYY...

> 2000 HP → Tesseract → OCRopus GOCR (GNU)

#### 1962 Ray Bonner: A "Logical Pattern" Recognition Program

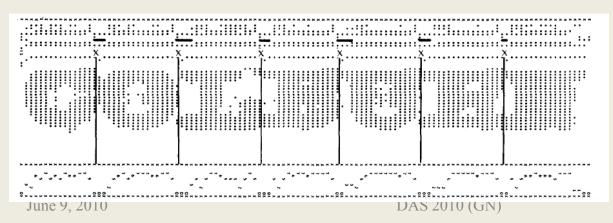


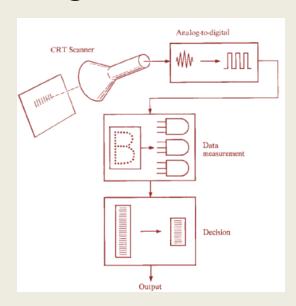
27,519 *unique* 7x10 bitmaps culled from **1,000,000** samples

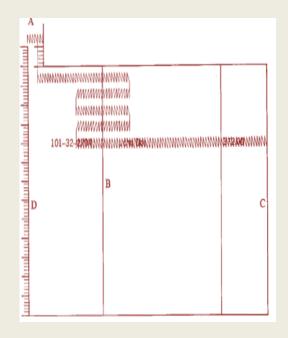
2 substitution errors and 14 rejects

#### 1966: IBM-1975 Social Security Page Reader

- 500 lines per minute; 200 fonts
- Last Quarter, 1965:
  - 1,300,000 pages = 33 million lines
- 16 million lines recognized
- 4 million lines with one or more rejects
- 12 million lines on rejected pages
- OCR confusion probabilities +
   SSA master file of 150 million names
   (one million distinct names with frequencies)
   (no cross-checking between names and SSN's)









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3	156 89 3061	Mable Day	900.00	ı .			
=	860 91 2101	Henry Long	45.50	I .			
1	985 10 3060	John Doe	4,95	I .			
=	110 00 4782	Pete Oak	372.90	I			
-≣	589 30 2875	Jo Ellen Sand	650,00	I			
1	789 29 5689	Chud Wood	90.35	l			
=	100 45 8324	Aden Harmes	270,39	1			
3	489 32 5031	Ethel Wilson	Z \ \\\ \\ \\ \\ \\ \\ \\ \\ \\ \\ \\ \\	1			
3	001 78 2543	Ardenn Neison	\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\	ı			
-	992 64 3065	Irving Jones	\$6.00	1			
-	821 75 2153	Gentralite Swanson \	735.80	ı			
1	267 91 5342	Bolyphal Shook:	45.00	ı			
1	340 12 4078	Alvan Entrans	725.00	ı			
20	1 step 36 200	dar Hapson	529,65	ı			
4	(2002 12 k3 hp) //	Merman Tree	370,73	ı			
3	395 84 1301	Mike Cane	2.50	ı			
4	776 31 5493	John Parken	673.00	1			
<b>-</b>	942 21 7833	Tom Hermans	74.00	ı			
1	229 33 4321	Eugene Togood	839,77	I			
	885 42 1872	Hilma Paul	59,03	I			
ii.	630 11 3582	Ardella Hermans	730.00	I			
3	524 73 7532	Greta Olson	89,05	I			
=	774 28 4235	Betty Head	112.50	I			
-	436 28 2743	Pat Mousebluff	832,75	I			
	357 89 0367	Sharon Ness	643.25	I			
	TOTALS FOR THIS PAGE-1	Taxable wages and number of employees	\$ 10,232.46	]			
П		NUMBER OF LINEAUTERS	FEDERAL COPY				

#### PATTERN ANALYSIS AND MACHINE INTELLIGENCE

JANUARY 1979

VOLUME PAMI-1 NUMBER 1

A PUBLICATION OF THE IEEE COMPUTER SOCIETY



#### Reference data sets in the modern era



FOREWORD	. 1
PAPERS	
A Hierarchical Syntactic Shape Analyzer	2
L. G. Shapiro and R. M. Haralick	10
A Description Method of Handprinted Chinese Characters	20
An Optimal Frequency Domain Filter for Edge Detection in Digital Pictures	25
K. S. Shanmugam, F. M. Dickey, and J. A. Green	37
Organization and Access of Image Data by Areas	50
Shape Matching Using Relaxation Techniques	60

Computer Recognition and Human Production of Handwriting Eds. R. Plamondon, C. Y. Suen & M. L. Simner © World Scientific Publ. Co., 1989, pp. 131-148

ETL-8 **1979** ~150,000 kyoiku-kanji characters.

#### HANDPRINTED CHINESE CHARACTER DATABASE

#### KAZUO TORAICHI and RYOICHI MORI

Institute of Information Sciences and Electronics, University of Tsukuba, 305 Japan

#### IWAO SEKITA

Doctoral Program in Engineering, University of Tsukuba, 305 Japan

KAZUHIKO YAMAMOTO and HIROMITSU YAMADA Information Sciences Division, Electrotechnical Laboratory, 305 Japan

In order to develop and evaluate recognition methods, a common character database is necessary. The authors collected a database of handprinted Chinese characters on a magnetic tape. The database consists of 48,000 characters (4,000 categories × 12 sets). Each character is quantized to 1 bit and is sampled as 64 (horizontal) × 63 (vertical) frames. In this paper, the database is introduced and its fundamental topological features are evaluated. In particular, the number of connected components and that of holes are compared with features obtained from the handprinted Japanese Educational *Kanji* character database (ETL-8).

#### 1. INTRODUCTION

June 2, 2010 Diss 2010 (0

## 1982 ETL-9(B)

T. Saito, H. Yamada and K. Yamamoto, On the Data Base ETL9 of Hand-printed Characters in JIS Chinese Characters and Its Analysis", IECE, Vol. J.68-D. No.4, p. 757-764, 1985 (in Japanese)

200 samples each of first level JIS (71 Hiragana + 2965 Kanji)

32 x 32 bitmaps 607,200 characters

谯瞬瞳瞪嚏像嗫跖螳螺 一乙二十厂丁七八人入 镗雞簧篾傷黛軒鯛撒糸 又 1 4 于 亏工 士土 下大 疫耕氟坚豁替擘張墊 口山中千川个义久凡九 原片圣经长龄经锡级军 己巳卫也女小飞习马子 **麦莱莱药填锻焊蹭陸** 元元天夫・开井不太多 魑鲠髻颤靡癣麒麟 太尤厅历区汇止少中内 权从币乏气今介公分父 腹骨壳嗅腺螽胨镇系统 嚷轰舞擊騎髓激膺使森 反氏欠乌匀勾凶月风计 飘嘴装鞋推搡在衣森林 火为斗心队邓劝欢以引

## Fin-de-siècle sources of western test data

NBS-NIST 1975 - 300K HP alphanumeric

91K phrases of real census data

CENPARMI 1981 - 100K HP 64x32 alphanumeric

ISRI 1993 - 96 Zoned page images

1992 - On-line cursive words

CEDAR 1994 - 15K HW "words" from mail

UW I,II,III 1992 - 95 Zoned page images + Synthetics + Graphics

IAM 1999 - HW sentences

cf. Guyon, Haralick, Hull, Phillips,

Data Sets for OCR and Document Image Understanding Research,

Chapter 30, Handbook of Character Recognition and Image Analysis, Bunke & Wang,

1997

1996

## List of Available ISRI Test Datasets

(Nartker, Rice, and Lumos SPIE/IS&T 2005)

Ground-truth Test Datasets		Number of		Image Resolution				Used in Annual Test		
Sample Name	Description	Pages	Characters	200 dpi bin	300 dpi bin	400 dpi bin	300 dpi grey	Fine- mode Fax	Std- mode Fax	
Sample 2	DOE Sample 2	460	817,946		X					1993 & 94
Sample M	Magazine Sample	200	666,134	X	X	X	X			1994 & 95
Sample N	Newspaper Sample	200	492,080	X	X	X	X			1995
Sample B	Business Letter Sample	200	319,756	X	X	X	X	X	X	1995 & 96
Sample L	Legal Document Sample	300	372,098	X	X	X	X	X	X	1996
Sample S	Spanish Newspaper Sample	144	348,091	X	X	Х	X			1995 & 96
Sample 3	DOE Sample 3	785	1,463,512	X	X	Х	X			1995 & 96
Sample R	Annual Report Sample	300	892,266	Х	Х	Х	X			1996
Sample Z	Magazine Sample 2	300	1,244,171	X	Х	Х	X			1996
Totals		2889	6,616,054							

## NIST OCR DATABASES

- **NIST Scoring Package**
- NIST Structured Forms Reference Set of Binary Images
- NIST Structured Forms Reference Set of Binary Images II
- NIST Machine-Print Database of Gray Scale and Binary Images
- **NIST Miniform Training Database**
- NIST Miniform Training Database II
- **NIST Miniform Test Database**
- **NIST Handprinted Forms and Characters**
- NIST Scientific and Technical Document
- NIST Federal Register Document Image Database

# Metadata/Text Retrieval Conference 1997 (METTREC)

A new project at NIST (cosponsored by DOD) intended to bring developers of Optical Character Recognition (OCR) and Information Retrieval (IR) technologies together to study and evaluate the automated production and usability of large-scale, on-line collections of digital documents.

- 1. How should these collections be constructed in an automated way using OCR technology?
- 2. What impact do OCR errors have on the accuracy of IR?
- 3. What types of information should be provided from OCR processing (in addition to text) that will facilitate and enhance IR?
- 4. What types of data (in addition to text) should be indexed and retrieved to improve the usability of these collections?
- 5. What types of "real-world" OCR/IR integrated applications can be solved today, solved next year, solved in five years?

To be based on 68,000 scanned pages of a year's worth of the **Federal Register** plus GPO typesetting files (SGML)

### What is METADATA?

### Foil 3 Mike Garris 1997

- o Non-text elements (physical or logical) of a document Fonts, page layout, equations, figures, tables, document type, language, title, author, dates, ...
- o Metadata may be used to construct queries and/or it may be part of the information retrieved.
- o Working definition of metadata will be developed by the planning committee.

### PROPOSED SIZE OF DATA SETS

Training Set: 2,000 FR94 page images

2,000 FR94 ground truth files

100 of the 2,000 designated for evaluation

5 known-item queries

Testing Set: 10,000 FR94 page images

10,000 FR94 ground truth files (IR participants only)

100 known-item queries

## REASONS FOR CLOSING DOWN THE METTREC PROJECT RECOGNIZING AND USING METADATA

\_\_\_\_\_

Our primary interest in METTREC has been to pursue the use of automatically recognized metadata and measure its impact on information retrieval.

Based on our experience over the passed year, we have observed:

- a.) An organized "OCR research community" no longer exists. There only remains a small number of commercial vendors competing in a "shrinking" market.
- b.) Very little research has been developed into technology tools for automatically detecting metadata in legacy paper documents that can be used for IR.

- c.) There is little motivation for OCR participation.
- d.) No one in the IR community is actively researching the use of metadata. It is acknowledged that metadata is interesting and might be useful, but no one is actually trying to exploit it. ....

Conclusion: Metadata cannot be readily detected with existing OCR technology, and the IR community is not prepared to address the use of metadata. Therefore, an OCR/IR metadata evaluation conference is not practical.



# METTREC resurrected: NIST Special Database 25 Volume 1 Federal Register Document Image Database

....roughly 250 issues, comprised of nearly 69,000 pages, published in the Federal Register in 1994.

....The database includes scanned images, SGML-tagged ground truth text, commercial OCR results, and image quality assessment results. ....This volume of the database contains

4711 page images scanned binary

at 15.75 pixels per millimeter (400 pixels per inch). Cost of the database: \$210.00

Standard Reference Data
National Institute of Standards and Technology
100 Bureau Dr., STOP 2310, Gaithersburg, MD 20899-2310

### CASIA Database (~1990)

Chinese Academy of Science Institute of Automation

3,755 level-1 set of GB2312-80, 300 writers = 1,126,500 Cheng-Lin Liu:

High Accuracy Handwritten Chinese Character Recognition Using Quadratic Classifiers with Discriminative Feature Extraction. ICPR (2) 2006: 942-945

### HCL2000 Database

- 3,755 level-1 set of GB2312-80, 1000 writers = 3,755,000
- H. Zhang, J. Guo, G. Chen, C Li:
- A Large-scale Handwritten Chinese Character Data base for Handwritten Character Recognition, ICDAR 2009

### **TUAT HANDS on-line Databases**

- ~ 3,000,000 patterns in ~4000 Kanji + Symbol categories
- S. Jaeger, K. Nakashima, ICDAR 2001

## 2006: Su, Zhang, Guan: HIT-MW

Unconstrained Chinese handwritten characters without preprinted boxes.

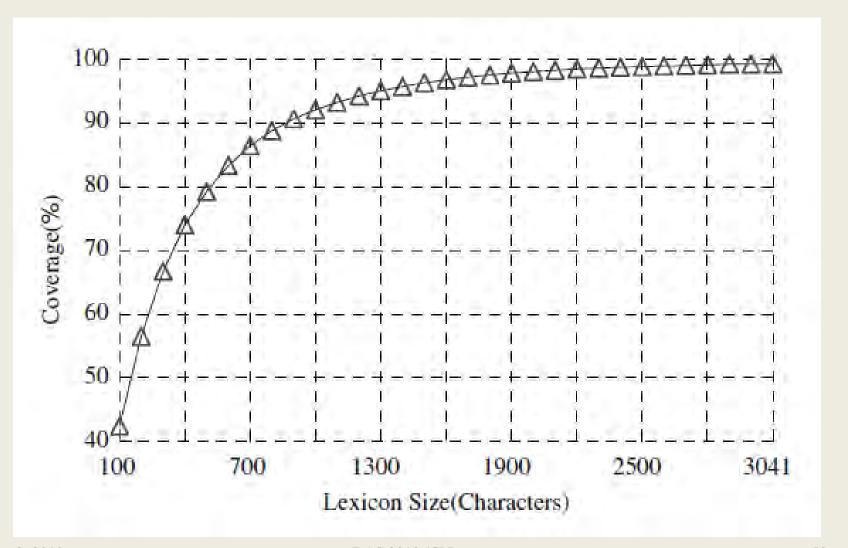
853 forms and 186,444 characters

Gray-scale 300dpi BMP

**Texts from China Daily** 

郑台民生前是中共湖南省支副书记、湖南省 人大常委会副主任,2002年3月11月因心脏病奏发, 牺牲在21个岁上。2003年3月11日,中共中央总部记 胡锦涛作过要批准,号召成兴战同志学到、20叶平 3月蒲湖电景堡团、中国电影堡团和大成公司发播 片、《郑昭八7、并于国庆前夕奉献给全国观众》 该行取材于兴兴管改同志生前耐活小事,以修 建公路为主传、集中反映了他权为民所用、情 为此所念、利为民所谋的情况、成功地望造 3一位的知于部的典型开象。

## HIT-MW coverage



## Su & Wang: "Corpus-based HIT-MW database for offline recognition of general-purpose Chinese handwritten text"

International Journal on Document Analysis and Recognition

Volume 10, Number 1 / June, **2007** 

Database	atabase Language Unit		Year	Source	
Highleyman	English	Alphanum	1961	[8]	
Munson		Alphanum	1968	[20]	
Suen		Numeral	1972	[28]	
CENPARMI		Postcode	1992	[30]	
CEDAR		City name	1994	[9]	
CAMBRIDGE		Sentence	1994	[26]	
IAM		Sentence	1998	[16]	
IAAS-4M	Chinese	Character	1985	[15]	
ITRI		Character	1991	[31]	
HCL2000		Character	2000	[36]	
HK2002		Character	2002	[5]	
ETL-8	Japanese	Character	1976	[19]	
ETL-9		Character	1985	[24]	
PE92	Korean	Character	1992	[11]	
KU-1		Character	2000	[23]	
IRONOFF	French	Character	1999	[32]	
GRUHD	Greek	Character	2000	[10]	
ISI	Indian	Alphanum	2005	[2]	

JUIIV /, 4010

## A taxonomy of test data sets

### DAS

Engineering drawings |← 40"→|

Schematic diagrams

Maps

Formulas + equations



L@g@s



**Ballots** 

Illuminated MS, Incunabula,

XVI-XIX C books ... ...



T | A | B | L | E | S

Forms \_\_\_\_



### NON-DAS

Text (IR, TREK)?

Cloud, bubble, & spark chambers

Sky (star) pics

Faces

Finger/palm/foot prints

X-rays, CT, MR, PET, ...

Micrographs (cells)

Plants and flowers

Features (U C Irvine)

... ... ...

Four slides from the NSF-III PI Workshop, April 2010

## The Scholarly Practice of Information Integration and Informatics

Haym Hirsh
National Science Foundation

Director, Information and Intelligent Systems (CISE)

## UC Irvine Machine Learning Repository

 Over 150 Data Sets for Machine Learning and Data Mining

Median age: 15 years

Should data sets have an expiration date?

## Benchmark Data: Reproducible, but Good?

- Benchmark data allow reproducibility
- Reproducibility also depends on software, parameters, etc.
- Benchmark data sets must be representative of the sorts of problems our algorithms will see in practice
- Benchmark data sets must stay timely as technological and scientific advances allow our ambitions to grow
- The pace of data growth makes this difficult

## Proprietary Data/Information

- Many innovative ideas involve proprietary or otherwise restricted data/information
- Do we publish innovations that use generally unavailable data/information?

What can we learn from the medical community?

2010



## IAPR TC 5: Benchmarking & Software

# A list of publicly available datasets for benchmarking of pattern

Note that publicly available data is published in connection with the contests and competitions.

UCI Machine Learning Repository

A list of datasets on the web by datawrangling.com

 UCI standard database in a unified format Some of the most popular UCI and Statlog datasets can be ound in this directory, in a standard format, and split into see the **README file**. (Thanks go to Roberto Paredes of

Thanks go to Heloise Hse and A. Richard Newton of University California Berkely for this hand-written symbol

The EC funded CAVIAR project (Context Aware Vision using Image-based Active Recognition) has collected and comprising about 90K frames.

- USPS data from Max Planck Institute for Biological

#### Data

A list of publicly available datasets for benchmarking of pattern recognition algorithms

- A list of datasets on the web by datawrangling.com
- UCI standard database in a unified format
- Hand-Written Symbol Recognition
- CAVIAR video sequences
- Sequence Recognition Dataset
- MNist data from Yann LeCun
- UCI Machine Learning Repository
- Youtube 22 Concepts
- USPS data from Max Planck Institute for Biological Cybernetics
- Dataset generator



## 2010



#### Machine-print OCR

APTI: Arabic Printed Text Image Database

### Handwriting

#### **On-line**

- IAM On-Line Handwriting Database
- UNIPEN database
- Kuchibue & Nakayosi Reference: "Collection of on-line handwritten Japanese character pattern databases and their analysis," International Journal on Document Analysis and Recognition, Vol. 7 No. 1, pp.69-81 (2004).

#### Off-line

- CEDAR Off-line Handwriting CDROM1
- IAM Database A full English sentence database for off-line handwriting recognition.
- MARG- Medical Article Records Groundtruth ([1]) is a freely-available repository of document page images and their associated textual and layout data. The data has been reviewed and corrected to establish its "ground truth". Please contact Dr. George Thoma (thoma@lhc.nlm.nih.gov) at the National Library of Medicine for more information.
- Hindi font samples by Andras Kornai, June 5 2003

### Miscellaneous Kanji handwritten OCR databases





## When is N large enough?

(Gauss, Chernoff, Guyon, Makhoul, Schwartz, Vapnik)

$$n \approx \left(\frac{z_a}{\beta}\right) \frac{(1-p)}{p} \approx \left(\frac{z_a}{\beta}\right) \frac{1}{p} \approx \frac{2\ln\alpha}{\beta^2 p} \approx \frac{100}{p}$$

So keep sampling until 100 errors have occurred for a 5% ( $\alpha$ ) probability that the true error rate is no more than 20% ( $\beta$ ) greater than the observed value p.

### Which is the better classifier?

Track exclusive errors  $v_1$  and  $v_2$  by each classifier. Then the first classifier is probably better if

$$\hat{p}_1 - \hat{p}_2 \ge \frac{z_\alpha}{n} \sqrt{v_1 - v_2}$$

## **Training on Test Data**

•Blatant e.g. estimating language model from a

transcript of the test data.

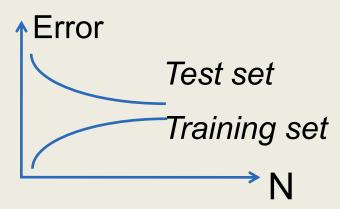
•Subtle e.g. increasing  $P(q_{-})$  after finding

38 occurrences of *Iraq* in the test data

•Subconscious failing to avert eyes from test data

If you make more than one run on the test set, report the maximum error!

**Testing on Training Data:** 



## **Data Partitioning**

- •Training Test (50-50 or 90-10 ?)
- Training Validation Test
- •Training Validation 1 Validation 2 Validation 3 ... Test
- Leave-one-out, Leave-N-out (cross-validation)
- Non-stationary data
- Sampling with or without replacement

80K samples: 400 sources (writers or fonts), 200 sentences

25% sample: 100 sources, 200 sentences

200 sources, 100 sentences

400 sources, 50 sentences

June 9, 2010 DAS 2010 (GN)

## Representative of what?

- Real data for HP so far primarily from envelopes and checks.
   Predicting real-world accuracy requires a document census or data from actual operations.
- Copying is different from writing or calculating!
   Vocabulary, spelling, and grammar are as personal as shape:
   writer demographics are important (cf. NIST SD3/7)
- In the real world, uniform distributions are rarer than a hen's tooth! (cf. Benford's Law for digits, Zipf's Law for words)
- Ground truth depends on context.

## Reporting classifier "performance"

Two-class Confusion Matrix: 5 independent values!

Correct, Error, Reject (C+E+R=1)

Recognition Rate

Precision & Recall (F-score/measure)

Sensitivity & Specificity

Type I & Type II error

False Alarm & Miss

False Positive & False Negative

N=100		Classified				
		Α	В	Reject		
True	A	50	3	7		
	В	4	30	6		

(+ is good in war, bad in medicine)

**Errors of Omission & Commission** 

Reject-error curve (C.K. Chow 1970) ROC



## Preprocessing considered harmful

```
Please don't binarize,
```

normalize,

segment,

deskew,

denoise,

thin, fatten,

or otherwise "improve" test data!

Instead, save your algorithm and parameter settings in the database.

# Wizard Words mean exactly what you want them to mean:

Concept Knowledge

Model Information

Semantics Data

Context Interpretation

Ontology Understanding

as in: "We situate our semantic concept models

in an ontological context."

Un-, non-, semi-supervised; adaptive, self-adaptive, teaching, training, learning

### **Document**

## Noise alidation of Image Defect Models for

- Real noise is never i.i.d.
- Bits don't flip by themselves.
- Random-phase noise is unavoidable but benign.
- Bleed-through and leaky margins are infectious.
- Document noise is different from digitization noise.
- A good scanner is worth three noise filters.
- Adding noise to develop robust algorithms is like ear-training with a gong!
- Every n<sup>th</sup> document should be a calibration target.

(In June 9, 2010 character recognition, the motivation of DAS 2010 (GN) 1-pepper noise. The noise source produced inde-

## Proofreading in context is error prone

This is a review, from an intuitive rather than a mathematical perspective, of the statistical foundations of adaptive recognition systems. Key considerations in adaptive classification are priors, sample size and sampling strategy, labels, statistical dependencies, and dimensionality. The small-sample bias and variance of maximum likelihood, maximum a postcriori and Bayes estimators are compared in a small concrete case. Iterative expectation maximization for estimating the sufficient statistics of mixtures is illustrated in a simple setting. It is shown that correlation among features is sometimes unjustly maligned. A counterintuitive increase in the error rate after adding a second feature is traced to the curse of dimensionality. Adaptive classification is presented in the context of both parametric and non-parametric (nearest neighbors and neural nets) estimation. Some recent theoretical results and not-so-recent experimental observations on hybrid classification (based on both labeled and unlabeled samples) are summarized.

## Homogenous class display for OCR

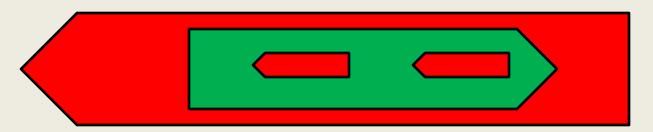
11%

## Foreign is relative

- Language model
- Σχριπτ
- Allographs and variants
- Diacritics (English has only tittles)
- Numerals, punctuation



Pure or mixed



Keep on testing, testing, testing:

progress in document analysis has
long been driven by sound experiments
on carefully prepared test data.

Thank you